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# Residually nilpotent groups whose closed subgroups are subnormal

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## ABSTRACT

We prove that a periodic residually nilpotent group  $G$  all of whose closed subgroups are subnormal is nilpotent. As a particular case, it follows that a periodic residually nilpotent group satisfying the subnormal intersection property is nilpotent.

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## 1. Introduction

This paper grew from an attempt of extending a recent result of H. Smith [1] stating that *a periodic residually nilpotent group in which all subgroups are subnormal is nilpotent* (see also [2] for an alternative proof). To properly state our main result, let us introduce some definitions, which we will thoroughly use in the paper.

**Definition 1.** A family  $\mathcal{N}$  of normal subgroups of a group  $G$  is called a *residual nilpotency system* for  $G$  if it satisfies the following conditions:

- (P1)  $G/N$  is a nilpotent group for every  $N \in \mathcal{N}$ ;
- (P2) For all  $N_1, N_2 \in \mathcal{N}$  there exists  $N \in \mathcal{N}$  such that  $N \leq N_1 \cap N_2$ ;
- (P3)  $\bigcap_{N \in \mathcal{N}} N = 1$ .

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Thus, a group  $G$  admits a residual nilpotency system if and only if it is residually nilpotent. We shall denote with  $(G, \mathcal{N})$  the pair defined by a residually nilpotent group  $G$ , equipped with a given residual nilpotency system  $\mathcal{N}$ , and call it a residually nilpotent pair (r.n.p. for short).

**Definition 2.** Let  $(G, \mathcal{N})$  be a residually nilpotent pair, and let  $H$  be a subgroup of  $G$ . The *closure* of  $H$  in  $(G, \mathcal{N})$  is defined as the subgroup

$$cl_G(H) = \bigcap_{N \in \mathcal{N}} HN.$$

We then say that the subgroup  $H$  is *closed* if  $H = cl_G(H)$ .

Our main result is the following:

**Theorem 1.** *Let  $G$  be a periodic group admitting a residual nilpotency system such that all closed subgroups are subnormal. Then  $G$  is nilpotent.*

We remark that, in [3], H. Smith has constructed (non-periodic) residually nilpotent groups in which every subgroup is subnormal that are not nilpotent. Thus, the above result does not hold for non-periodic groups. However, we believe that much can be said in the general case as well, but leave this for further investigation.

**The subnormal intersection property.** A group  $G$  is said to satisfy the *Subnormal Intersection Property* (abbreviated with *s.i.p.*) if given any family  $(H_\lambda)_{\lambda \in \Lambda}$  of subnormal subgroups of  $G$ , the intersection  $\bigcap_{\lambda \in \Lambda} H_\lambda$  is again a subnormal subgroup of  $G$ . Following [4], we denote by  $\mathfrak{S}_\infty$  the class of all groups satisfying the *s.i.p.* Clearly, all finite groups belong to  $\mathfrak{S}_\infty$ , and so the *s.i.p.* is a finiteness condition in the usual sense. In fact, it is not difficult to see that all groups with the minimal condition on subnormal subgroups (min–sn) satisfy *s.i.p.*; on the other hand, for instance, the infinite dihedral group does not satisfy such a property. An immediate corollary of our result is the following.

**Corollary 1.** *A periodic residually nilpotent group with the subnormal intersection property is nilpotent.*

In fact, the initial motivation of our work came as part of the project of beginning a more detailed investigation of locally nilpotent groups with the *s.i.p.* (the connection with local nilpotency is to be found in the rather easy observation – Lemma 9 – that the groups that comprise the object of our study are indeed Baer groups). As a consequence, we devote the last section of this paper, mainly composed by examples, to reviewing Corollary 1 in the framework of the theory of locally nilpotent groups and of groups with the *s.i.p.*. The interested reader may thus jump directly to that for more comments in this direction.

**Topological groups.** Clearly, a family  $\mathcal{N}$  of normal subgroups of  $G$  as in Definition 1, determines an inverse system of nilpotent groups and a topology on  $G$ , which, by condition (P3), is Hausdorff: the closed subgroups in Definition 2 are just those subgroups of  $G$  that are closed in this topology. However, besides such small use of terminology, we have not taken a topological approach in this paper.

The notation is mostly standard. If  $H$  is a subnormal subgroup of  $G$  (written  $H \triangleleft\triangleleft G$ ), we let  $d(H, G)$  denote the *defect* of  $H$  in  $G$ , i.e. the shortest length of a series  $H = H_0 \triangleleft H_1 \triangleleft \dots \triangleleft H_d = G$ . Also, we denote by  $G^{(n)}$ ,  $\zeta_n(G)$  and  $\gamma_n(G)$ , the  $n$ -th term of, respectively, the derived series, the upper and lower central series of  $G$  (and write  $Z(G) = \zeta_1(G)$  for the center of  $G$ ).

## 2. Closed subnormality

Let  $(G, \mathcal{N})$  be a residually nilpotent pair, according with the definition given in the introduction: for a subgroup  $H$  of  $G$  we write  $H \leqslant_c G$  if  $H$  is closed in  $(G, \mathcal{N})$ , and  $H \trianglelefteq_c G$  if furthermore  $H$  is normal in  $G$ .

In this section we collect a series of basic and mostly straightforward facts, that we will often use without any further reference. They are stated for groups with a residual nilpotent system, but their validity is in general much wider (for instance most of them do not depend on the assumption that the factors  $G/N$  – for  $N \in \mathcal{N}$  – are nilpotent).

**Lemma 2.** *Let  $(G, \mathcal{N})$  be a residually nilpotent pair. Then*

- (i) *if  $\{H_\lambda\}_{\lambda \in \Lambda}$  is a collection of closed subgroups, then  $\bigcap_{\lambda \in \Lambda} H_\lambda$  is a closed subgroup;*
- (ii) *if  $H \leqslant K \leqslant G$ , then  $cl_G(H) \leqslant cl_G(K)$ ;*
- (iii) *if  $H \trianglelefteq K \leqslant G$ , then  $cl_G(H) \trianglelefteq cl_G(K)$ .*

**Lemma 3.** *Let  $\mathcal{N}$  be a family of normal subgroups of the group  $G$  satisfying (P2) and (P3), and let  $H \leqslant G$ .*

- (i) *If  $Z = C_G(H)$ , then  $Z = \bigcap_{N \in \mathcal{N}} ZN$ .*
- (ii) *If  $H$  is finite, then  $H = \bigcap_{N \in \mathcal{N}} HN$ .*

**Proof.**

- (i) Let  $g \in \bigcap_{N \in \mathcal{N}} ZN$ : then, for all  $a \in H$ , and all  $N \in \mathcal{N}$ ,

$$[a, g] \in [H, ZN] \leqslant [H, N] \leqslant N.$$

Thus  $[a, g] = 1$  and so  $g \in C_G(H) = Z$ .

- (ii) Set  $H_o = \bigcap_{N \in \mathcal{N}} HN$ . For each  $N \in \mathcal{N}$ ,  $(H_o \cap N)H = H_o \cap NH = H_o$ , and thus  $H_o/(H_o \cap N) \simeq H/H \cap N$ . Now, the intersection of all  $H_o \cap N$ , with  $N \in \mathcal{N}$ , is trivial, and since  $H$  is finite, it follows that  $H_o$  is finite. Thus, there exists a  $K \in \mathcal{N}$ , such that  $H_o \cap K = 1$ . This shows that  $H_o = H$ .  $\square$

**Corollary 4.** *Let  $(G, \mathcal{N})$  be a residually nilpotent pair, and  $H \leqslant G$ . Then*

- (i)  *$C_G(H)$  is a closed subgroup of  $G$ ;*
- (ii) *if  $H$  is finite,  $H$  is a closed subgroup of  $G$ .*

**Lemma 5.** *Let  $(G, \mathcal{N})$  be a residually nilpotent pair, and  $H \leqslant G$ . Then*

- (i) *if  $H \leqslant G$  is nilpotent of class  $c$ , then  $cl_G(H)$  is nilpotent of class  $c$ ;*
- (ii) *if  $H \leqslant G$  has finite exponent  $e$ , then  $cl_G(H)$  has the same exponent;*
- (iii) *if  $H \triangleleft\triangleleft G$ , then  $cl_G(H) \triangleleft\triangleleft G$  and  $d(cl_G(H), G) \leqslant d(H, G)$ .*

**Proof.**

- (i) Since  $\gamma_{c+1}(H) = 1$  and (P3) holds, we have

$$\begin{aligned} \gamma_{c+1}(cl_G(H)) &= [cl_G(H), {}_c cl_G(H)] = \left[ \bigcap_{N \in \mathcal{N}} HN, {}_c \bigcap_{N \in \mathcal{N}} HN \right] \\ &\leqslant \bigcap_{N \in \mathcal{N}} [H, {}_c H]N = \bigcap_{N \in \mathcal{N}} N = 1. \end{aligned}$$

- (ii) Let  $x \in cl_G(H)$ . Then, for  $N \in \mathcal{N}$ ,  $x = h_n n$ , where  $h_n \in H$  and  $n \in N$ . Thus,  $x^e = (h_n n)^e = h_n^e n' = n' \in N$ . This applies to every  $N \in \mathcal{N}$ , therefore  $x^e \in \bigcap_{N \in \mathcal{N}} N = 1$ , and 2 follows.
- (iii) Let  $H \triangleleft G$ , and  $d = d(H, G)$ . Then, for every  $N \in \mathcal{N}$ ,  $HN/N$  is subnormal of defect at most  $d$  in  $G/N$ . This implies  $[G, {}_d NH] \leq [G, {}_d H] \leq NH$  for every  $N \in \mathcal{N}$ ; thus  $[G, {}_d cl_G(H)] \leq cl_G(H)$ , which is what we wanted.  $\square$

Let  $(G, \mathcal{N})$  be a residually nilpotent pair. Then every subgroup  $H$  of  $G$  naturally inherits a residual nilpotency system  $\mathcal{N}_H = \{N \cap H\}_{N \in \mathcal{N}}$ , and thus  $(H, \mathcal{N}_H)$  is a residually nilpotent pair. When referring to a subgroup  $H$  of  $G$ , we will always tacitly assume that  $H$  is endowed with such induced system. Similarly, if  $H \trianglelefteq_c G$ , then the family  $\mathcal{N}_{G/H} = \{HN/H\}_{N \in \mathcal{N}}$  is a residual nilpotency system for  $G/H$  (and we will always assume  $G/H$  endowed with such residual system).

**Lemma 6.** *Let  $(G, \mathcal{N})$  be a residually nilpotent pair. Then,*

- (i) *For every  $K \leq H \leq G$ ,  $cl_H(K) = H \cap cl_G(K)$ ;*
- (ii) *If  $K \leq H \leq G$  and  $K \leq_c G$ , then  $K \leq_c H$ ;*
- (iii) *If  $K \leq_c H \leq_c G$ , then  $K \leq_c G$ .*

**Proof.** Let  $K \leq H \leq G$ . Then, by Lemma 2,

$$cl_H(K) = \bigcap_{N \in \mathcal{N}} K(N \cap H) = \left( \bigcap_{N \in \mathcal{N}} KN \right) \cap H = cl_G(K) \cap H,$$

thus proving point (i). Points (ii) and (iii) now follow immediately.  $\square$

**Lemma 7.** *Let  $(G, \mathcal{N})$  be a residually nilpotent pair, and let  $H \trianglelefteq_c G$ . Then for every  $H \leq K \leq G$ ,*

$$cl_{G/H}(K/H) = cl_G(K)/H.$$

**Proof.** Immediate from the definitions.  $\square$

From this and point (i) of Lemma 3 one easily deduce the following.

**Lemma 8.** *Let  $(G, \mathcal{N})$  be a residually nilpotent pair, and let  $H \trianglelefteq_c G$ . Then for every  $n \in \mathbb{N}$ ,*

- (i)  $\zeta_n(H) \leq_c G$ ;
- (ii) *the subgroup  $Z_n$  defined by  $Z_n/H = \zeta_n(G/H)$  is closed in  $G$ .*

We may now define the class of objects which is the main interest of this paper.

**Definition 3.** A residually nilpotent pair  $(G, \mathcal{N})$  is *subnormal-closed* if every closed subgroup of it is subnormal in  $G$ . We denote by  $\mathfrak{S}_c$  the class of all groups  $G$  that admit a residual nilpotency system  $\mathcal{N}$ , such that  $(G, \mathcal{N})$  is subnormal-closed.

One observes at once that if the residually nilpotent pair  $(G, \mathcal{N})$  is subnormal-closed, then for every subgroup  $H$ , the pair  $(H, \mathcal{N}_H)$  is subnormal-closed: in fact, by Lemma 6, for any closed subgroup  $K$  of  $H$ ,

$$K = cl_H(K) = cl_G(K) \cap H \triangleleft H.$$

By Lemma 7, a similar remark applies for every factor pair  $(G/N, \mathcal{N}_{G/N})$ , with  $N \trianglelefteq_c G$ .

Thus, in particular, we deduce that the class  $\mathfrak{S}_c$  is subgroup closed (quotient closure is not obvious at this stage).

The starting point in studying  $\mathfrak{S}_c$ -groups is the elementary but fundamental observation that they are locally nilpotent. In fact (and in a strong sense), they even are Baer groups: that is, groups in which every cyclic subgroup is subnormal.

**Lemma 9.** *Let  $G$  be a group in the class  $\mathfrak{S}_c$ . Then every nilpotent subgroup of  $G$  is subnormal. In particular,  $G$  is a Baer group.*

**Proof.** Let  $G \in \mathfrak{S}_c$ , and let  $\mathcal{N}$  be a residual nilpotency system in  $G$ , such that every closed subgroup in  $(G, \mathcal{N})$  is subnormal. Let  $H$  be a nilpotent subgroup of  $G$ . Then  $cl_G(H)$  is subnormal in  $G$ , and moreover, by Lemma 5, it is nilpotent. Thus,  $H \triangleleft\triangleleft cl_G(H) \triangleleft\triangleleft G$ , and so  $H \triangleleft\triangleleft G$ .  $\square$

### 3. Preliminaries

Our proof follows the lines of that of Smith's Theorem as given in [2]: in particular, we need preliminary information on  $p$ -groups of finite exponent (Section 4), that we will obtain by using Möhres's ideas for the corresponding case in the class of groups with all subgroups subnormal (see [5] and [6]). Let us begin by recalling an easy fact.

**Lemma 10.** *Let  $G$  be a group,  $H \leq G$ , and let  $V$  be a finitely generated subgroup of  $H$ . If all finitely generated subgroups of  $H$  that contain  $V$  are subnormal in  $G$  with defect bounded by an integer  $d$ , then  $H$  is subnormal in  $G$  of defect at most  $d$ .*

The following lemma is an easy rephrasing of an argument originally due to C. Brookes, which has become a standard trick in many articles on groups with many subnormal subgroups. For the convenience of the reader, we include a proof.

**Lemma 11.** *Assume that the residually nilpotent pair  $(G, \mathcal{N})$  is subnormal-closed, and let  $\Theta$  be a family of closed subgroups such that  $G \in \Theta$ . Then there exist a  $H \in \Theta$ , a finitely generated subgroup  $F$  of  $H$ , and a positive integer  $d$ , such that every  $K \in \Theta$  with  $F \leq K \leq H$  has defect at most  $d$  in  $H$ .*

**Proof.** Let  $(G, \mathcal{N})$  be a counterexample. By an inductive procedure we construct two chains of subgroups

$$\{1\} = F_0 \leq F_1 \leq \cdots \leq F_i \leq F_{i+1} \leq \cdots$$

$$G = H_0 \geq H_1 \geq \cdots \geq H_i \geq H_{i+1} \geq \cdots$$

such that, for each  $i, j \in \mathbb{N}$ ,  $F_i$  is finitely generated,  $F_i \leq H_j \in \Theta$  and  $[H_i, {}_iF_{i+1}] \not\leq H_{i+1}$ .

Set  $F_0 = \{1\}$ ,  $H_0 = G$ , and suppose we have already defined  $F_0, \dots, F_i$  and  $H_0, \dots, H_i$ . Since  $F_i \leq H_j \in \Theta$ ,  $H_i \triangleleft\triangleleft G$ , and  $G$  is a counterexample, there exists a subgroup  $H_{i+1} \in \Theta$ , with  $F_i \leq H_{i+1} \leq H_i$ , and  $d(H_{i+1}, H_i) \geq i + 1$ . Then  $[H_i, {}_iH_{i+1}]$  is not contained in  $H_{i+1}$  and so there exists a finitely generated subgroup  $K$  of  $H_{i+1}$  such that  $[H_i, {}_iK] \not\leq H_{i+1}$ . We put  $F_{i+1} = \langle F_i, K \rangle$ . Then  $F_{i+1}$  is finitely generated,  $F_i \leq F_{i+1} \leq H_{i+1}$ , and  $[H_i, {}_iF_{i+1}] \not\leq H_{i+1}$ .

By induction, we thus construct the two chains  $\{F_i\}_{i \in \mathbb{N}}$ ,  $\{H_i\}_{i \in \mathbb{N}}$  with the desired properties. We then put

$$H = \bigcap_{i \in \mathbb{N}} H_i.$$

Then  $\bigcup_{i \in \mathbb{N}} F_i \leq H$ , and  $H$  is closed in  $(G, \mathcal{N})$ . Hence, as  $(G, \mathcal{N})$  is subnormal-closed,  $H$  is subnormal in  $G$ , and so there exists an integer  $k$  such that  $[G, {}_k H] \leq H$ . In particular we have

$$[G, {}_k F_{k+1}] \leq [G, {}_k H] \leq H \leq H_{k+1}$$

which contradicts the choice of  $F_{k+1}$ .  $\square$

Another fundamental tool in this kind of investigation is a famous result of Roseblade [7].

**Theorem 2** (Roseblade). *Let  $G$  be a group. Suppose that there exists a positive integer  $d$  such that all subgroups of  $G$  are subnormal of defect at most  $d$  in  $G$ . Then  $G$  is nilpotent and its nilpotency class is bounded by a function of  $d$ .*

This statement may clearly be adapted to our context, in the following form:

*A group  $G$  admitting a residual nilpotency system such that all closed subgroups are subnormal of defect at most  $d$ , is nilpotent of nilpotency class bounded by a function of  $d$ .*

Indeed, when we refer to Roseblade's Theorem, it will always be meant in the sense of the last remark. We will also need an extension due to E. Detomi [8].

**Proposition 12** (Detomi). *Let  $G$  be a periodic locally nilpotent group. Assume that there exist a finite subgroup  $F$  of  $G$  and  $d \in \mathbb{N}$ , such that every subgroup of  $G$  containing  $F$  is subnormal of defect at most  $d$  in  $G$ . Then  $\gamma_{\beta(d)}(G)$  is finite for a positive integer  $\beta(d)$  depending only on  $d$ . In particular,  $G$  is nilpotent and its nilpotency class is bounded by a function that depends only on  $d$  and  $|F|$ .*

**Remark 1.** Now, let  $G$  be a periodic group in  $\mathfrak{S}_c$ . Then  $G$  is locally nilpotent by Lemma 9, and so it is the direct product of its primary components  $G_p$  (for  $p \in \mathbb{P}$ , the set of all prime numbers). It follows that, for any residual nilpotency system  $\mathcal{N}$ ,  $G_p$  is closed in  $(G, \mathcal{N})$ . In fact, given  $\mathcal{N}$ , let  $g \in cl_G(G_p)$ , and suppose that  $m = |g|$  is coprime to  $p$ . For any  $N \in \mathcal{N}$ ,  $g = hx$  with  $h \in G_p$ ,  $x \in N$ : so  $1 = g^m = h^m x^m$  (with  $x^m \in N$ ); forcing, as  $h$  is a  $p$ -element,  $h \in N$ . Hence  $g \in \bigcap_{N \in \mathcal{N}} N = 1$ , showing that  $g$  must be a  $p$ -element and thus belong to  $G_p$ .

This fact will be applied without any further reference. In particular it is instrumental in reducing to primary groups, which we do in the next lemma.

**Lemma 13.** *Let  $G$  be a periodic group in  $\mathfrak{S}_c$ ; then there exists a positive integer  $n$ , such that all but finitely many primary components of  $G$  are nilpotent of nilpotency class at most  $n$ .*

**Proof.** Let  $G$  be as in the hypothesis; then  $G$  is the direct product of its primary components  $G_p$ , and, as observed above, every component  $G_p$  is closed with respect to any residual nilpotency system  $\mathcal{N}$  of  $G$ .

Suppose, by contradiction, that, for every  $n \in \mathbb{N}$  there are infinitely many components  $G_p$ , such that  $\gamma_n(G_p) \neq 1$ . Since  $G_p \leq_c G$ , by Roseblade's Theorem 2, we can find distinct primes  $p_n$ , and for each  $n \in \mathbb{N}$ , a closed subgroup  $T_n$  of  $G_{p_n}$  ( $T_n \leq_c G$  by Lemma 6) of defect at least  $n$  in  $G_{p_n}$  (observe that this is possible because  $G$  is a Baer group). For each  $n$ , we set  $H_n = (\text{Dir}_{q \neq p_n} G_q) \times T_n$ ; then  $H_n$  is subnormal in  $G$  of defect at least  $n$  and it is easily seen to be closed.

By Lemma 2, the subgroup

$$H = \bigcap_{n \in \mathbb{N}} H_n$$

is closed in  $G$  and so, since  $G \in \mathfrak{S}_c$ , it is subnormal of defect, say,  $d$ . But then, for  $m \geq d + 1$ ,

$$T_m = H \cap G_{p_m} \geq [G, {}_d H] \cap G_{p_m} \geq [G_{p_m}, {}_d T_m] \geq [G_{p_m}, {}_m T_m],$$

a contradiction.  $\square$

However, our next result does not require the group to be periodic.

**Theorem 3.** *Every group in  $\mathfrak{S}_c$  is soluble.*

**Proof.** Let  $G \in \mathfrak{S}_c$ , and  $\mathcal{N}$  a residual nilpotency system in  $G$  such that  $(G, \mathcal{N})$  is subnormal-closed. Suppose by contradiction that  $G$  is not soluble. By Lemma 11 applied to the family  $\Theta$  of all closed non-soluble subgroups of  $(G, \mathcal{N})$ , there exist  $H \in \Theta$ , a finitely generated subgroup  $F$  of  $H$ , and a positive integer  $d$ , such that all non-soluble closed subgroups of  $H$  containing  $F$  have defect at most  $d$  in  $H$ . By Lemma 6, we may well replace  $G$  by  $H$ , and assume that  $d$  is minimal for a counterexample.

Now, observe that every element  $N \in \mathcal{N}$  is not soluble. Hence, for  $N \in \mathcal{N}$ , and every subgroup  $Y \geq F$ ,  $YN$  is a closed non-soluble subgroup of  $H$ , that is  $YN \in \Theta$ . Therefore, for every  $N \in \mathcal{N}$ , and every  $Y \geq F$ ,  $YN$  is subnormal of defect at most  $d$  in  $H$ .

We have  $d \neq 1$ . In fact, if  $d = 1$ , then, by what we have just observed, for every  $N \in \mathcal{N}$ ,  $FN \trianglelefteq G$ , all subgroups of  $H/N$  containing  $FN/N$  are normal. That is  $H/FN$  is a Dedekind group, and therefore

$$H^{(2)} \leq \bigcap_{N \in \mathcal{N}} FN = cl_H(F).$$

Now, since  $H$  is a Baer group,  $F$  is nilpotent and so, by Lemma 5,  $cl_H(F)$  is nilpotent, and thus soluble of derived length, say,  $t$ . We then have

$$H^{(2+t)} \leq \bigcap_{N \in \mathcal{N}} (cl_H(F))^{(t)} = 1,$$

contradicting the choice of  $H$ .

Let now  $d \geq 1$  and let  $F \leq K \leq_c cl_H(F^H)$ , with  $K$  not soluble. Since  $K \leq_c G$  by Lemma 6,  $K$  is subnormal of defect at most  $d$  in  $H$ . Thus, for every  $N \in \mathcal{N}$ ,

$$[F^H N, {}_{d-1} K] \leq [F^H, {}_{d-1} K] N \leq [K^H, {}_{d-1} K] N \leq KN,$$

yielding

$$[cl_H(F^H), {}_{d-1} K] \leq \bigcap_{N \in \mathcal{N}} [F^H N, {}_{d-1} K] \leq \bigcap_{N \in \mathcal{N}} KN = K.$$

Hence,  $K$  is subnormal of defect at most  $d - 1$  in  $cl_H(F^H)$ . By the minimality of  $d$ , we deduce that  $cl_H(F^H)$  is soluble. Now,  $cl_H(F^H) \trianglelefteq H$  by Lemma 5, and all closed subgroups of  $H/cl_H(F^H)$  are subnormal of defect at most  $d$ . By Rosebòlade's Theorem, there is an integer  $k$  such that  $H^{(k)} \leq cl_H(F^H)$ . Then, if  $t$  is the derived length of  $cl_H(F^H)$ ,

$$H^{(k+t)} \leq (cl_H(F^H))^{(t)} = 1,$$

a contradiction that concludes the proof.  $\square$

We now treat the special case of residually finite groups.

**Definition 4.** A residually nilpotent pair  $(G, \mathcal{N})$  is said to be *residually finite* if the intersection of all closed subgroups of finite index is the identity element.

In the next proof we will use the standard fact that a Baer group with a nilpotent subgroup of finite index is itself nilpotent.

**Proposition 14.** Let the residually nilpotent pair  $(G, \mathcal{N})$  be subnormal-closed and residually finite. If  $G$  is periodic, then  $G$  is nilpotent.

**Proof.** Let  $(G, \mathcal{N})$  be as in the hypothesis, and suppose  $G$  periodic. We apply Lemma 11 to the family  $\Theta$  of all closed subgroups of  $G$  of finite index. Then there exist  $H \in \Theta$ , a finitely generated (and thus finite) subgroup  $F$  of  $H$ , and a positive integer  $d$ , such that every  $F \leq K \leq H$ , with  $K \in \Theta$ , has defect at most  $d$  in  $H$ .

Let  $V$  be a finitely generated (and hence finite) subgroup of  $H$  containing  $F$ . Since  $G$  is a Baer group,  $V$  is subnormal in  $H$ . We show that  $V$  has defect at most  $d$  in  $H$ . Let  $N$  be a closed normal subgroup of  $H$  of finite index; then  $VN/N$  is finite and  $F \leq VN \leq_c H$ , so  $VN \in \Theta$ . Thus,  $VN$  is subnormal of defect at most  $d$  in  $H$ . Consequently, denoting by  $\mathcal{F}$  the set of all closed normal subgroups of  $H$  of finite index, the subgroup

$$V_0 = \bigcap_{N \in \mathcal{F}} VN$$

is subnormal of defect at most  $d$  in  $H$ .

Now, by assumption,  $\bigcap_{N \in \mathcal{F}} N = 1$ , and thus it follows from Lemma 3 that  $V_0 = V$ , proving that  $V$  has defect at most  $d$  in  $H$ .

By Lemma 10 we thus deduce that all subgroups of  $H$  containing  $F$  are subnormal of defect at most  $d$ , and by Proposition 12, we conclude that  $H$  is nilpotent. Since  $H$  has finite index in the Baer group  $G$ , by the reminder above we get that  $G$  is nilpotent.  $\square$

Of course, Proposition 14 will be superseded by Theorem 1. We note that, also in this simpler case, we cannot remove the assumption that  $G$  is periodic. In fact, as proved by H. Smith [3], there even exist residually finite non-periodic groups that are not nilpotent and have all subgroups subnormal.

#### 4. $\mathfrak{S}_c$ -groups of finite exponent

In this section, we consider soluble  $p$ -groups of finite exponent. By a well known fact (see [4, Theorem 7.17]), such groups are Baer groups. The first and main case to be studied is that of an extension of an elementary abelian  $p$ -group by another elementary abelian  $p$ -group. For a fixed prime  $p$ , following Möhres [5], we set the following notation:  $(G, A) \in \Phi$  means that  $A$  is a normal subgroup of the group  $G$ , and both  $A$  and  $G/A$  are elementary abelian  $p$ -groups.

We rephrase some extremely useful lemmas from the work of Möhres.

**Lemma 15.** (Cf. [5, 1.4, 1.5].) Let  $(G, A) \in \Phi$ , and let  $n \in \mathbb{N}$ .

- (i) If  $[A, {}_{n(p-1)}G] \neq 1$ , then there exist  $x_1, x_2, \dots, x_n \in G$  such that  $[A, {}_{p-1}x_1, \dots, {}_{p-1}x_n] \neq 1$ ;
- (ii) Let  $x_1, x_2, \dots, x_n \in G$  be such that  $[A, {}_{p-1}x_1, \dots, {}_{p-1}x_n] \neq 1$ ; and let  $y_1, \dots, y_m \in \langle A, x_1, x_2, \dots, x_n \rangle$  with  $Ay_1, \dots, Ay_m$  linearly independent in  $G/A$ . Then  $[A, {}_{p-1}y_1, \dots, {}_{p-1}y_m] \neq 1$ .

Before proceeding, we recall the easy fact that a nilpotent by finite  $p$ -group of finite exponent is nilpotent.

**Lemma 16.** Let  $(G, A) \in \Phi$ ,  $X \leq G$  with  $A \leq X$  and  $X/A$  finite, and let  $f(n)$  be a positive integer valued function on  $\mathbb{N}$ . Assume that  $G$  is not nilpotent. Then there exists a chain of subgroups  $X = X_0 < X_1 < X_2 < \dots < X_n < \dots$  such that, for all  $i \in \mathbb{N}$ ,



- (1)  $X_i/A$  is finite, and  $|X_{i+1}/X_i| = p^{f(i)}$ ;  
 (2) for all  $H \leq G$ , if for some  $i \in \mathbb{N}$ , and some  $1 \leq k \leq f(i)$ , we have  $|X_i(AH \cap X_{i+1})/X_i| \geq p^k$ , then  $[A, {}_{k(p-1)}H] \neq 1$ .

**Proof.** Set  $X_0 = X$ , and suppose that, for some  $n \in \mathbb{N}$ , we have already found subgroups  $X_0, X_1, \dots, X_n$  with the desired properties. Let  $Y/A$  be a complement of  $X_n/A$  in  $G/A$ . Since  $G$  is not nilpotent and  $|G : Y| < \infty$ ,  $Y$  is not nilpotent. On the other hand, since  $X_n/A$  is finite,  $X_n$  is nilpotent, and there exists  $c \in \mathbb{N}$  such that  $[A, {}_cX_n] = 1$ . Let  $C = C_A(X_n)$ , and suppose that  $[C, {}_sY] = 1$  for some  $s$ . Then, since  $A$  and  $G/A$  are abelian,

$$[A, {}_sY, {}_{c-1}X_n] = [A, {}_{c-1}X_n, {}_sY] \leq [C, {}_sY] = 1;$$

and so, by an easy induction,  $[A, {}_{cs}Y] = 1$ , a contradiction.

Hence, in particular,  $C \not\leq \zeta_{f(n)(p-1)}(Y)$ . Then, by Lemma 15, there exist  $a_{n+1} \in C$ , and  $y_1, y_2, \dots, y_{f(n)} \in Y$  such that

$$[a_{n+1}, {}_{p-1}y_1, \dots, {}_{p-1}y_{f(n)}] \neq 1$$

and  $y_1A, \dots, y_nA$  are independent. We set  $X_{n+1} = \langle X_n, y_1, \dots, y_{f(n)} \rangle$ , and show that it satisfies the required properties.

Let  $H \leq X_{n+1}$  such that  $X_nH/X_n$  has order  $p^k$  with  $1 \leq k \leq f(n)$ . Then there exist  $k$  linearly independent elements  $z_1A, \dots, z_kA$  of  $G/A$ , with  $z_1, \dots, z_k \in H$ , such that

$$\frac{HX_n}{A} = \frac{X_n}{A} \times \langle z_1A, \dots, z_kA \rangle.$$

By the construction of  $X_{n+1}$ , for each  $i = 1, \dots, k$ ,  $z_i = b_iu_i$ , with  $b_i \in X_n$ , and  $u_i \in \langle y_1, \dots, y_{f(n)} \rangle$  (using the notation of the first part of the proof). Finally, since  $[a_{n+1}, X_n] = 1$ , we get

$$[a_{n+1}, {}_{p-1}z_1, \dots, {}_{p-1}z_k] = [a_{n+1}, {}_{p-1}u_1, \dots, {}_{p-1}u_k] \neq 1$$

by choice of  $y_1, \dots, y_{f(n)}$  and part 2) of Lemma 15. Therefore  $[A, {}_{k(p-1)}H] \neq 1$ , and this completes the proof.  $\square$

Another rather elementary fact that we need is the following.

**Lemma 17.** Let  $(G, A) \in \Phi$ , and  $x_1, \dots, x_n \in G$ . Then  $|\langle x_1, \dots, x_n \rangle| \leq p^{\omega(n)}$ ; where  $\omega(1) = 2$ , and, for  $n \geq 1$ ,  $\omega(n) = 2n + p^{2n} \binom{n}{2}$ .

**Proof.** See e.g. (cf. [6, Lemma 1.1]).  $\square$

The next lemma also essentially comes from Möhres.

**Lemma 18.** For every  $n, m \geq 1$ , there exists  $\psi(n, m) \in \mathbb{N}$ , such that the following holds.

If  $(G, A) \in \Phi$  with  $|G/A| \geq \psi(n, m)$ ,  $V = \langle x_1, \dots, x_n \rangle \leq G$  and  $a \in A \setminus V$ ; then there exist elements  $y_1, \dots, y_m \in G$ , such that  $a \notin U = \langle V, y_1, \dots, y_m \rangle$ , and  $|AU : AV| = p^m$ .

**Proof.** By a result of Möhres [6, Satz 2.2] there exists a function  $\mu : \mathbb{N} \rightarrow \mathbb{N}$  such that if  $(G, A) \in \Phi$ ,  $|G/A| \geq \mu(n)$ , and  $V \leq G$  with  $|V| \leq p^n$ , then

$$\bigcap_{y \in G \setminus AU} \langle V, y \rangle = V.$$

For  $n, m \geq 1$ , we set  $\psi(n, m) = \mu(\omega(n + m - 1))$  (where  $\omega$  is the function defined in Lemma 17), and show that it satisfies the required property by induction on  $m$ . Let  $x_1, \dots, x_n \in G$ ,  $V = \langle x_1, \dots, x_n \rangle$ , and  $a \in A \setminus V$ . If  $m = 1$ ,  $\psi(n, 1) = \mu(\omega(n))$  and the claim follows from Möhres's Theorem. Let  $m \geq 2$ , then, as  $\psi(n, m) \geq \psi(n, m - 1)$ , by inductive assumption there exist  $y_1, \dots, y_{m-1}$  such that  $a \notin T = \langle V, y_1, \dots, y_{m-1} \rangle$  and  $|AT : AV| = p^{m-1}$ . Now, by Lemma 17,  $|T| \leq p^{\omega(n+m-1)}$ , and so the result of Möhres again implies the existence of  $y_m \in G$  with  $a \notin U = \langle T, y_m \rangle = \langle V, y_1, \dots, y_{m-1}, y_m \rangle$  and  $|AU : AT| = p$ . Thus  $|AU : AV| = |AU : AT||AT : AV| = p^m$ , and the lemma is proved.  $\square$

One further preparatory result that we need is of different nature, following easily from P. Hall's nilpotency criterion (see [4, Theorem 2.27]).

**Proposition 19** (P. Hall). *Let  $H$  be a normal subgroup of the group  $G$ . If both  $H$  and  $G/H'$  are nilpotent, of nilpotency class  $c$  and  $d$  respectively, then  $G$  is nilpotent of nilpotency class at most  $\binom{c+1}{2}d - \binom{c}{2}$ .*

**Lemma 20.** *Let  $(G, \mathcal{N})$  be a residually nilpotent pair, with  $G$  a  $p$ -group, and let  $H$  be a normal subgroup of  $G$  of finite exponent  $p^e$ . Suppose that  $H$  is nilpotent of class  $c$  and  $G/\text{cl}_G(H'H^p)$  is nilpotent of class  $d$ . Then,  $G$  is nilpotent of class bounded by a function  $\eta(e, c, d)$ .*

**Proof.** Write  $M = H'H^p$ , and  $R = \text{cl}_G(M)$ . Let  $N \in \mathcal{N}$ , and  $\bar{H} = HN/N$ . Then, clearly,  $\bar{H}$  has exponent dividing  $p^e$ , is nilpotent of class at most  $c$ , and  $\bar{H}'\bar{H}^p = MN/N = RN/N$ , whence  $\bar{G}/\bar{H}'\bar{H}^p$  is nilpotent of class at most  $d$ . As  $\bigcap_{N \in \mathcal{N}} N = 1$ , we may well just suppose  $N = 1$ . Then  $M = H'H^p$  is closed, and  $\gamma_{d+1}(G) \leq M$ ; hence, by standard commutator calculus,

$$\gamma_{2d+1}(G) \leq [H'H^p, {}_dG] \leq H'[H, {}_dG]^p \leq H'H^{p^2}.$$

Thus, since  $H$  has exponent  $p^e$ ,  $\gamma_{ed+1}(G) \leq H'$ , and we conclude by appealing to P. Hall's criterion.  $\square$

We are finally ready to deal with the case  $(G, A) \in \Phi$ .

**Lemma 21.** *Let  $(G, A) \in \Phi$ . Suppose that  $G$  belongs to  $\mathfrak{S}_c$ ; then  $G$  is nilpotent.*

**Proof.** Let  $\mathcal{N}$  be a residual nilpotency system in  $G$  such that  $(G, \mathcal{N})$  is subnormal-closed.

Suppose, by contradiction, that  $G$  is not nilpotent. Then, by Lemma 11 (possibly replacing  $G$  by a suitable non-nilpotent closed subgroup), we may assume that there exist a positive integer  $d$  and a finite subgroup  $F$  of  $G$ , such that all non-nilpotent closed subgroups of  $G$  containing  $F$  have defect at most  $d$  in  $G$ .

We prove that all subgroups of  $G$  containing  $F$  are subnormal of defect at most  $d$ . Suppose the contrary; then, by Lemma 10, there exists a finitely generated subgroup  $V$  containing  $F$ , whose defect is larger than  $d$  ( $V$  is certainly subnormal because  $G$  is a Baer group).

Observe that, if  $N \in \mathcal{N}$  is not nilpotent, then  $VN$  is a non-nilpotent closed subgroup containing  $F$ , and so  $d(VN, G) \leq d$ . Since, by Lemma 3,  $V = \bigcap_{N \in \mathcal{N}} VN$ , we deduce that

(1) there exists  $N \in \mathcal{N}$  such that  $N$  is nilpotent and  $VN$  has defect larger than  $d$  in  $G$ .

Let such  $N \in \mathcal{N}$  be fixed, and let  $H = AN$ . Then,  $H$  is a nilpotent closed subgroup of  $G$  of exponent at most  $p^2$ . Hence, setting  $R = \text{cl}_G(H'H^p)$ , by choice of  $G$  as a counterexample and Lemma 20, we have that  $G/R$  is not nilpotent. Observe also that  $R \leq N$ . Now, clearly,  $(G/R, \mathcal{N}_{G/R})$  is subnormal-closed. Moreover,  $(G/R, H/R) \in \Phi$ , and, since  $VN \geq R$ ,  $d(VN/R, G/R) > d$ . Thus, we may replace  $(G, A)$  with  $(G/R, H/R)$ ,  $F$  with  $FR/R$ ,  $N$  with  $N/R$ , and thus assume

(2)  $A$  is closed and  $N \leq A$ .

Now, since  $VN$  has defect greater than  $d$  in  $G$ ,

(3) there exists an element  $a \in A$  such that  $a \in [G, {}_dVN] \setminus VN$ .

Let  $V$  be generated by  $k$  elements of  $G$ , and define a function  $f(i)$  (for  $i \in \mathbb{N}$ ) by setting:

$$f(i) = \psi\left(k + \frac{i(i+1)}{2}, i+1\right),$$

where  $\psi$  is defined in Lemma 18. Now, as  $G$  is not nilpotent, there exists a chain of subgroups

$$AV = X_0 < X_1 < X_2 < \cdots < X_n < \cdots$$

satisfying the properties in the statement of Lemma 16, with respect to the above defined function  $f(i)$ .

Let bars denote the image of elements and subgroups of  $G$  modulo  $N$ . Then, by the choice of the function  $f(i)$  and Lemma 18, there exists a chain of subgroups

$$\overline{V}_0 < \overline{V}_1 < \overline{V}_2 < \cdots < \overline{V}_i < \cdots$$

of  $\overline{G} = G/N$ , with  $V_0 = V$ , such that, for every  $i \in \mathbb{N}$ ,  $V_i$  is finitely generated (and thus finite),  $|V_i X_i / X_i| = p^i$ , and  $\bar{a} \notin \overline{V}_i$ .

In particular,  $a$  does not belong to the subgroup  $W = \bigcup_{i \in \mathbb{N}} NV_i$ . Now, since  $N \leq W$ ,  $W$  is closed in  $(G, \mathcal{N})$ , and thus subnormal in  $G$ . Now,

$$a \in [G, {}_dVN] \setminus W \leq [G, {}_dW] \setminus W,$$

and so  $W$  has defect  $s > d$  in  $G$ . It follows that, since it contains  $V \geq F$ ,  $W$  is nilpotent. Let  $c$  be the nilpotency class of  $W$ ; then

$$[A, {}_{s+c}W] = [A, {}_sW, {}_cW] \leq [W, {}_cW] = 1.$$

On the other hand, by Lemma 16, for all  $i \in \mathbb{N}$  we have

$$[A, {}_{i(p-1)}W] \geq [A, {}_{i(p-1)}V_i] \neq 1.$$

By this contradiction all subgroups containing  $F$  are subnormal of defect at most  $d$ . Since  $F$  is finite and  $G$  is periodic and locally nilpotent, we conclude by Proposition 12 that  $G$  is nilpotent.  $\square$

In order to extend Lemma 21 to arbitrary finite exponent, we notice the following fact, which is an easy application of Lemma 5.

**Lemma 22.** *Let  $p$  be a prime, and let  $(G, \mathcal{N})$  be a residually nilpotent pair. If there is a normal series*

$$1 = G_0 \triangleleft G_1 \triangleleft \cdots \triangleleft G_n = G$$

*such that  $G_i/G_{i-1}$  is  $p$ -elementary abelian for each  $i = 1, \dots, n$ , then there is such a series (of the same length) consisting of closed subgroups.*

Here is the principal result of this section.

**Proposition 23.** *Every  $\mathfrak{S}_c$ -group of finite exponent is nilpotent.*

**Proof.** Let  $G$  a group of finite exponent belonging to  $\mathfrak{S}_c$ , and let  $\mathcal{N}$  be a residual nilpotency system in  $G$  such that  $(G, \mathcal{N})$  is subnormal-closed. By Lemma 9,  $G$  is a Baer group and so, having finite exponent, it is the direct product of a finite number of primary components, each of which is closed (see Lemma 1). By Theorem 3,  $G$  is soluble, and thus it admits a finite normal series whose factors are elementary abelian  $p$ -groups, and whose terms are closed in  $G$  (by Lemma 22). We proceed by induction on the length  $t$  of a shortest such series in  $G$ .

If  $t = 1$  then  $G$  is elementary abelian, and there is nothing to prove. Thus, suppose  $t > 1$ , and let  $H$  be a normal closed subgroup of  $G$  such that  $G/H$  is elementary abelian, and  $H$  has a normal series of the above kind of length  $t - 1$ . By inductive assumption,  $H$  is nilpotent. Let  $R = cl_G(H/H^p)$ ; then  $R \trianglelefteq_c G$ ,  $G/R$  belongs to  $\mathfrak{S}_c$  (via the system  $\mathcal{N}_{G/R}$ ), and  $(G/R, H/R) \in \Phi$ . By Lemma 21,  $G/R$  is nilpotent. By Lemma 20, we obtain the theorem.  $\square$

## 5. The main result

Let us start this section by recalling some other well-known facts.

**Lemma 24.** Let  $N$  be a normal abelian subgroup of the group  $G$ , and let  $x \in G$ . Suppose that there exist  $1 \leq m, n \in \mathbb{N}$  such that  $[N, x^m] = 1 = [N, {}_n x]$ . Then  $x$  centralizes  $N^{m^{n-1}}$ .

**Proof.** We argue by induction on  $n$ . If  $n = 1$  we have nothing to prove. Thus, let  $n \geq 2$ , and set  $B = [N, x]$ . Then  $[B, {}_{n-1} x] = 1$ , whence, by inductive assumption, using the fact that  $N$  is abelian,

$$[N^{m^{n-2}}, x, x] = [[N, x]^{m^{n-2}}, x] = [B^{m^{n-2}}, x] = 1.$$

Now, let  $b \in N^{m^{n-2}}$ . Then, since  $[b, x, x] = 1 = [b, x, b]$ , by well known rule for commutators, we have  $[b^m, x] = [b, x]^m = [b, x^m] = 1$ . Therefore,  $[N^{m^{n-1}}, x] = [(N^{m^{n-2}})^m, x] = 1$ , as wanted.  $\square$

**Corollary 25.** Let  $A$  be a normal abelian subgroup of the periodic Baer group  $G$ , and write  $A^\omega = \bigcap_{n \in \mathbb{N}} A^n$ . Then  $A^\omega \leq Z(G)$ .

**Proof.** Since  $G$  is a Baer group, every cyclic subgroup of  $G$  is subnormal. Therefore, for all  $x \in G$ , there exists a positive integer  $n = n(x)$  such that  $[A, {}_n x] = 1$ . By Lemma 24,  $x$  centralizes  $A^{m^{n-1}}$ , where  $m = |x|$ , and so  $x$  centralizes  $A^\omega$ .  $\square$

We also need an easy application of P. Hall's criterion, suited to our purposes.

**Lemma 26.** Let  $(G, \mathcal{N})$  be a residually nilpotent pair,  $H \trianglelefteq G$ , and  $R = cl_G(H')$ . If both  $H$  and  $G/R$  are nilpotent, then  $G$  is nilpotent.

**Proof.** Let  $c$  and  $d$  be, respectively, the nilpotency class of  $H$  and of  $G/R$ . Let  $N \in \mathcal{N}$ ; then  $G/N$  has a normal subgroup  $HN/N$  of nilpotency class at most  $c$ . Now,  $cl_{G/N}((HN/N)') = (HN/N)'$ , and  $\frac{G/N}{H'N/N}$  is nilpotent of class at most  $d$ . By Proposition 19,  $G/N$  is nilpotent of class at most  $\delta$ , where  $\delta = \binom{c+1}{2}d - \binom{c}{2}$  is independent on the choice of  $N \in \mathcal{N}$ . Hence

$$\gamma_{\delta+1}(G) \leq \bigcap_{N \in \mathcal{N}} N = 1$$

as wanted.  $\square$

The proof of our last auxiliary result follows closely that of Möhres for the case of  $\mathfrak{N}_1$ -groups [9, Satz 12], and thus we will not repeat all the details.

**Lemma 27.** *Let  $G$  be a periodic group in  $\mathfrak{S}_c$ . If  $G$  is the extension of a nilpotent group by a group of finite exponent, then  $G$  is nilpotent.*

**Proof.** Let  $G$  be a periodic  $\mathfrak{S}_c$ -group, with a normal nilpotent subgroup  $M$  such that  $G/M$  has finite exponent, and  $\mathcal{N}$  a residual nilpotency system in  $G$  such that  $(G, \mathcal{N})$  is subnormal-closed. By Lemmas 9 and 1 we may assume that  $G$  is a  $p$ -group for some prime  $p$ . Also, by Theorem 3,  $G$  is soluble, and  $G/M$  has a finite normal series with elementary abelian factors. By Lemma 5, we may assume that  $M$  is closed, and by Lemma 22 that the terms of such a series are also closed. Assume, by contradiction, that  $G$  is not nilpotent. Then, by arguing on the length of this series, we have that  $G/M$  is elementary abelian. Finally, by Lemma 26, we reduce to the case in which  $M$  is abelian.

We first show that  $G$  does not satisfy any Engel condition. In fact, suppose that there exists  $n \in \mathbb{N}$  such that  $[M, {}_n x] = 1$  for all  $x \in G$ . Since  $G/M$  has exponent  $p$  and  $M$  is abelian,  $[M, x^p] = 1$  for all  $x \in G$ ; hence, by Lemma 24,  $M^{p^{n-1}} \leq Z(G)$ . By Lemma 8,  $G/Z(G)$  is a  $\mathfrak{S}_c$ -group of finite exponent, and so  $G/Z(G)$  is nilpotent by Proposition 23. Thus  $G$  is nilpotent.

By using this fact, one shows that there exists a non-nilpotent closed subgroup  $K$  of  $G$ , with  $M \leq K$ , such that a subgroup  $S$  of  $K$  is nilpotent if and only if  $MS/M$  is finite. The proof of this goes exactly as in Lemma 5 of [9], and so we do not reproduce it here.

Then, one applies Lemma 11: since  $(K, \mathcal{N}_K)$  is subnormal-closed and  $K$  is not nilpotent, there exists a non-nilpotent closed subgroup  $H$  of  $K$ , a finite subgroup  $F$  of  $H$  and a  $d \in \mathbb{N}$ , such that every non-nilpotent closed subgroup of  $H$  containing  $F$  has defect at most  $d$  in  $H$ . It is clear that we may well assume  $H = K$ . Let  $V$  be a finitely generated subgroup of  $K$  with  $F \leq V$  and suppose that the defect of  $V$  in  $K$  is strictly larger than  $d$ . Then, there exists an element  $a \in [K, {}_d V] \setminus V$ . As in the proof of Theorem 21, there exists some  $N \in \mathcal{N}$ , with  $N$  nilpotent, such that  $a \notin VN$ . Since  $K/N$  is a nilpotent  $p$ -group, by Satz 6 in [9] there exists  $S/N \leq K/N$  such that  $V \leq S$ ,  $a \notin S$ , and  $|SM/N : M/N| = |SM : M|$  is infinite. As  $S \geq N$ ,  $S$  is closed and thus subnormal in  $K$ . On the other hand, by choice of  $K$ ,  $S$  is not nilpotent, and thus, since  $F \leq V \leq S$ ,  $S$  has defect at most  $d$  in  $K$ , forcing

$$a \in [K, {}_d V] \leq [K, {}_d S] \leq S$$

against the fact that  $a \notin S$ .

The last contradiction shows that every finitely generated subgroup of  $K$  containing  $F$  is subnormal of defect at most  $d$ . Then, by Lemma 10, all subgroups of  $K$  containing  $F$  are subnormal of defect at most  $d$ , and so, by Proposition 12,  $K$  is nilpotent, which is the final contradiction.  $\square$

Let  $H$  be a subgroup of the group  $G$ . We write  $H \leq_b G$  if there exists an integer  $m \geq 1$  such that  $g^m \in H$  for all  $g \in G$ . This is equivalent to saying that  $G/H_G$  is a group of finite exponent. Observe that if  $K \leq_b H \leq_b G$  then  $K \leq_b G$ .

Now, the proof of the main theorem.

**Proof of Theorem 1.** Let  $G$  be a periodic  $\mathfrak{S}_c$ -group, and  $\mathcal{N}$  a residual nilpotency system in  $G$  such that  $(G, \mathcal{N})$  is subnormal-closed. Then  $G$  is a Baer group and, by Lemma 1, we may assume that it is a  $p$ -group for some prime  $p$ . By Theorem 3,  $G$  is soluble. We argue by induction on the derived length of  $G$ . Thus, by inductive assumption,  $G'$  is nilpotent. By Lemma 26 we may also assume that  $G'$  is abelian.

Let  $\Theta$  be the family of all closed subgroups  $K$  of  $G$ , such that  $K \leq_b G$ . By Lemma 11 there exists  $H \in \Theta$ , a finite subgroup  $F$  of  $H$  and a positive integer  $d$ , such that all subgroups  $F \leq S \leq H$  with  $S \in \Theta$  are subnormal of defect at most  $d$  in  $H$ .

Let  $A = H'$  and, for every  $n \in \mathbb{N}$ ,  $A_n = A^{p^n} = \langle x^{p^n} \mid x \in A \rangle$ . Then, with the notation of Corollary 25,

$$\bigcap_{n \in \mathbb{N}} A_n = A^\omega \leq Z(H).$$

Fixed  $n \in \mathbb{N}$  and  $N \in \mathcal{N}$ , write  $X = X_{n,N} = A_n(N \cap H)$ . Then, all subgroups  $S \leq_b H$  with  $X \leq S$  are closed in  $(H, \mathcal{N}_H)$  and therefore, by Lemma 6, are closed in  $G$  and thus belong to  $\Theta$ . By choice of  $H$  and  $F$  it follows that all subgroups  $XF \leq S \leq_b H$  have defect at most  $d$  in  $H$ . Now,  $H/X$  is nilpotent and its derived subgroup, which is contained in  $AX/X$ , has finite exponent. It then follows that, if  $Y/X = Z(H/X)$ ,  $H/Y$  has finite exponent; in other words,  $Y \leq_b H$ . Moreover, since  $Y \geq N \cap H$ , all subgroups of  $H/Y$  containing  $YF/Y$  are closed, and so they are subnormal of defect at most  $d$ . By Proposition 12,  $\gamma_{\beta(d)}(H/Y)$  is finite in  $H/Y$ . Then, by a result of P. Hall (see [4, Theorem 4.25]),  $\zeta_{2\beta(d)}(H/Y)$  has finite index in  $H/Y$ . Since  $Y/X$  is the center of  $H/X$ , we obtain that  $\zeta_{2\beta(d)+1}(H/X)$  has finite index in  $H/X$ . This holds for any choice of  $n \in \mathbb{N}$  and  $N \in \mathcal{N}$ .

Now, for any  $n \in \mathbb{N}$  and  $N \in \mathcal{N}$ , let

$$\frac{Z_{n,N}}{A_n(N \cap H)} = \zeta_{2\beta(d)+1}\left(\frac{H}{A_n(N \cap H)}\right).$$

Then  $Z_{n,N}$  is closed in  $H$  and, by what we have proved above,  $Z_{n,N}$  has finite index in  $H$ . Thus, letting

$$R = \bigcap_{n \in \mathbb{N}, N \in \mathcal{N}} Z_{n,N},$$

$(H/R, \mathcal{N}_{H/R})$  is residually finite in the sense of Proposition 14; by the same proposition we deduce that  $H/R$  is nilpotent.

Thus, let  $c \in \mathbb{N}$  with  $\gamma_c(H) \leq R$ . Then, by definition of  $R$ ,

$$\gamma_{c+2\beta(d)+1}(H) \leq \bigcap_{n \in \mathbb{N}, N \in \mathcal{N}} A_n(N \cap H).$$

Let  $r = c + 2\beta(d) + 1$ , and write  $W = \gamma_r(H)$ . For all  $N \in \mathcal{N}$ , by applying Corollary 25,

$$\frac{W(N \cap H)}{N \cap H} \leq \bigcap_{n \in \mathbb{N}} \left( \frac{A_n(N \cap H)}{N \cap H} \right) = \left( \frac{A(N \cap H)}{N \cap H} \right)^\omega \leq Z\left(\frac{H}{N \cap H}\right).$$

Hence

$$[W, H] \leq \bigcap_{N \in \mathcal{N}} (N \cap H) = 1;$$

thus,  $W \leq Z(H)$  and, therefore,  $H$  is nilpotent.

Finally, let  $H_G$  be the largest normal subgroup of  $G$  contained in  $H$ ; then  $H_G$  is nilpotent. But, recall that  $H$  is subnormal in  $G$  and  $H \leq_b G$ ; it is therefore not difficult to see that  $G/H_G$  has finite exponent. Now, application of Lemma 27 yields that  $G$  is nilpotent.  $\square$

Theorem 1 is not true for non periodic groups. In fact, as already mentioned in the introduction, H. Smith [3] has shown that there even exist non-nilpotent residually finite (and thus, a fortiori, residually nilpotent) groups in which every subgroup is subnormal.

## 6. The subnormal intersection property

Let  $\mathfrak{S}_\infty$  denote the class of all groups satisfying the subnormal intersection property (s.i.p.). Clearly, every finite and every nilpotent group belong to  $\mathfrak{S}_\infty$ , as well as every group with all subgroups subnormal, or satisfying the minimal condition on subnormal subgroups. Also, it is straightforward to show that a group in which all subnormal subgroups have defect bounded by a positive integer  $n$  (termed a  $\mathfrak{B}_n$ -group) belongs to  $\mathfrak{S}_\infty$ . The study of  $\mathfrak{S}_\infty$  started with D. Robinson [10], and the

proof that a finitely generated soluble group belongs to  $\mathfrak{S}_\infty$  if and only if it is finite by nilpotent. Later, Robinson examines wreath products in [11], finding necessary and sufficient conditions on two nilpotent groups  $H$  and  $K$ , for their (restricted or unrestricted) wreath product  $H \wr K$  to belong to  $\mathfrak{S}_\infty$ . In the same paper, Robinson shows that there exist soluble  $\mathfrak{B}_2$ -groups with arbitrary derived length, thus making clear that the class of soluble  $\mathfrak{S}_\infty$ -groups is rather complicated (soluble groups with rank conditions in  $\mathfrak{S}_\infty$  are studied in McDougall [12], McCaughan [13] and Franchi [14]).

When moving to locally nilpotent groups, the picture remains in general quite intricate. For example, it has been observed by Leinen [15] that, for every prime  $p$ , in the unique countable existentially closed locally finite  $p$ -group  $U_p$  every subnormal subgroup is normal; so  $U_p \in \mathfrak{S}_\infty$ . Also, on a less deep level, examples of soluble locally finite  $p$ -groups in  $\mathfrak{B}_n$  with arbitrary derived length are constructed in [16].

Now, all the  $p$ -groups just mentioned are not Baer groups, while Lemma 9 states, in particular, that residually nilpotent  $\mathfrak{S}_\infty$ -groups are Baer, and our main result (via Corollary 1) shows in turn that, when periodic, they are indeed nilpotent. Thus, the class of Baer groups appears to be a natural following step in studying the s.i.p. In this perspective, we conclude the article by giving a couple of examples that add (in the negative) information on this specific aspect. Both aim at showing that, even for periodic Baer  $\mathfrak{S}_\infty$ -groups, and in order to force nilpotency, the assumption of residual nilpotency cannot be dropped: we refer, in particular, to Theorem 3 and Proposition 23.

**Example 1.** A non-soluble Baer  $p$ -group satisfying the s.i.p.

Let  $p$  be a fixed prime, and  $C_p$  a cyclic group of order  $p$ . We prove that the P. Hall's generalized wreath product  $W = \text{Wr } C_p^{\mathbb{N}}$  belongs to the class  $\mathfrak{S}_\infty$ . We refer to D. Robinson's text [4, §6.2], or to P. Hall's original paper [17], for the background and notations.

In fact, we show that every proper subnormal subgroup of  $W$  either contains the derived group  $W'$  or is nilpotent; from this it clearly follows that  $W$  satisfies the s.i.p. (it is easy to check that  $W$  is a non-soluble Baer group).

We look at  $W$  as the subgroup generated by copies  $H_n$  ( $n \in \mathbb{N}$ ) of the cyclic group of order  $p$  in a suitable symmetric group, defined (see [4, §6.2]) starting from the regular representation of each  $H_n$  and the natural ordering of the set  $\mathbb{N}$  of positive integers. For any  $n \in \mathbb{N}$ , let  $I_n = \{0, 1, 2, \dots, n\}$ , and  $Y_n = \mathbb{N} \setminus I_n$ . Then, P. Hall's segmentation lemma says that

$$W = \langle H_0, H_1, \dots, H_n \rangle \wr \langle H_k \mid k > n \rangle = (\text{Wr } C_p^{I_n}) \wr (\text{Wr } C_p^{Y_n}) \quad (1)$$

where  $\wr$  denotes the standard wreath product. We denote by  $B_n$  the base group in the wreath product in the above decomposition (1). Clearly,  $B_n$  is nilpotent.

First let  $N$  be a normal subgroup of  $W$ . We show that either  $N \geq W'$  or  $N$  is contained in  $B_n$ , for some  $n \in \mathbb{N}$ . If  $N \not\leq B_n$  for all  $n$ , then, by a lemma in P. Hall's paper (Lemma 6.26 in [4]),  $N \geq B'_n$  for all  $n \in \mathbb{N}$ . Since  $W = \bigcup_{n \in \mathbb{N}} B_n$ , it follows that  $N \geq W'$ .

Now let  $H$  be a subnormal subgroup of  $W$ , and let  $H_0 = [W', H]$ . Then  $H_0$  is normal in  $W'$ , and so, by another result of P. Hall (Theorem A in [17]),  $H_0$  is normal in  $W$ . By what we have observed above, either  $H_0 = W'$  or  $H_0 \leq B_n$  for some  $n$ . In the first case, since  $H$  is subnormal, we have, for some integer  $d$

$$W' = [W', H] = [W', {}_d H] \leq H;$$

in the second case,  $H$  is nilpotent. This clearly implies that  $W$  satisfies the s.i.p.

**Example 2.** A non-nilpotent soluble  $p$ -group of exponent  $p^2$  satisfying the s.i.p.

Let  $p$  be a prime, and let  $A$  be a countable elementary abelian  $p$ -group, with a fixed base  $a_1, a_2, a_3, \dots$

For every  $n \geq 1$ , we define an automorphism  $x_n$  of  $A$  by setting

$$a_i^{x_n} = \begin{cases} a_i & \text{if } i \equiv 1, \dots, 2^{n-1} \pmod{2^n}, \\ a_i + a_{i-2^{n-1}} & \text{if } i \equiv 2^{n-1}, \dots, 2^n \pmod{2^n} \end{cases} \quad (2)$$

and extending by linearity.

It is then easy to check that  $x_n^p = 1$  for every  $n$ , and, with little more routine work, that  $x_n x_m = x_m x_n$ , for every  $n, m \geq 1$ . Thus  $X = \langle x_n \mid n \geq 1 \rangle$  is an infinite elementary abelian  $p$ -group of automorphisms of  $A$ . For every  $k \geq 1$  let  $A_k = \langle a_1, \dots, a_k \rangle$  (and, if needed,  $A_0 = \{1\}$ ). We observe that, from definitions (2), the following hold: for every  $n \geq 1$ ,

$$[A_{2^n}, x_n] = A_{2^{n-1}} \quad \text{and} \quad [A_{2^n}, x_m] = 1 \quad \text{if } m > n. \quad (3)$$

Let now  $Y$  be an infinite subgroup of  $X$ . Then, for every  $n \geq 1$ ,

$$Y \cap \langle x_{n+1}, x_{n+2}, \dots \rangle \neq \{1\},$$

and so  $Y$  contains an element  $y = x_t x_{i_1} \cdots x_{i_r}$ , with  $t \geq n+1$ , and  $i_j > t$  for every  $1 \leq j \leq r$ . From (3) it follows that  $x_{i_1} \cdots x_{i_r}$  centralizes  $A_{2^t}$ , whence

$$[A, Y] \geq [A_{2^t}, y] = [A_{2^t}, x_t] = A_{2^{t-1}} \geq A_{2^n}.$$

This holds for every  $n \geq 1$  and so

$$[A, Y] = A. \quad (4)$$

We now consider the semidirect product  $G = A \rtimes X$ . Clearly,  $G$  is metabelian and has exponent  $p^2$ . Also, by (4),  $G' = A = [A, G]$  and thus  $G$  is not nilpotent. To show that  $G$  satisfies the s.i.p., we observe that the following fact holds:

$$\text{If } H \triangleleft G, \text{ then either } H \geq A = G' \text{ or } AH/A \text{ is finite.} \quad (5)$$

In fact, let  $H$  be a subnormal subgroup of  $G$  and suppose that  $AH/A$  is infinite. Then  $AH = AY$ , where  $Y = AH \cap X$  is an infinite subgroup of  $X$ . It then follows from (4) that

$$[A, H] = [A, AH] \geq [A, Y] = A.$$

Since  $[A, {}_d H] \leq H$  for some  $d \geq 1$ , we conclude that  $A \leq H$ , thus proving (5).

Now, having in mind that if  $H \triangleleft G$  and  $AH/A$  is finite then  $AH$  is nilpotent, it is clear that  $G$  satisfies the s.i.p.

Torsion-free metabelian Baer groups which satisfy s.i.p. and are not nilpotent may also be constructed in a similar way. On the other hand, we have not been able to find an example of a non-soluble Baer group in  $\mathfrak{S}_\infty$  of finite exponent, and thus leave open this question. We also mention that it is possible to construct residually – (finite and soluble) groups that are not soluble and satisfy the s.i.p. (in fact, they have the property that every non-trivial subnormal subgroup has finite index and defect at most 4).



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